

April 17, 2025

# Customer Relationship Summary

Templum Markets, LLC

Form CRS

Templum Markets LLC (“Templum” or “we”) is registered with the Securities and Exchange Commission (“SEC”) as a broker-dealer and is also a member of the Financial Industry Regulatory Authority, Inc. (“FINRA”) and the Securities Investor Protection Corporation (“SIPC”). Brokerage and investment advisory services and fees differ and it is important for the *retail investor* to understand the differences. This Form CRS contains important information about the brokerage services Templum offers, along with general information related to compensation, conflicts of interest, disciplinary action, and other reportable legal information. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## What investment services and advice can you provide me?

Templum offers limited brokerage products and services to *retail investors*. Specifically, we offer retail investors the opportunity to make self-directed purchases and sales of select private market and alternative securities through: 1) transactions with an issuer, and 2) trading on our Alternative Trading System (“ATS”). Templum does provide investment advice, nor does it maintain “minimum account standards;” however, the securities made available by Templum may have individual investment criteria and Templum will cancel an order if an investor does not meet such criteria. Retail investors will make the ultimate decision concerning the sale or purchase of securities through Templum (barring any security-specific requirements, mandatory corporate action, and/or legal or regulatory direction).

We do not enter into a fiduciary relationship with, nor make any recommendations to, any retail investor; however, we may close your brokerage account if we do not believe the securities made available by Templum could be appropriate for you. It is your responsibility to monitor the investments you make through Templum, and we encourage you to do so regularly. We do not commit to provide on-going monitoring of your investments. Other security products or brokerage services may be more suitable for you.

Additional information about our services is available through our Best Interest Disclosure Documents, <https://www.templuminc.com/legal-hub>

When considering our services, ask your financial professional:

- Given my financial situation, should I choose a brokerage service? Why or why not?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

## What fees will I pay?

You will pay transaction-based fees in connection with trades you make through Templum. You will also typically pay a fee to send money to Templum to pay for your transaction. The applicable fees will vary depending on the security and your banking institution. Templum will receive more compensation the more you trade, and as your trade size(s) increases. Fees will be assessed when you enter into transactions for securities.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees you are paying.

Additional information about our fees is available on our Best Interest Disclosure Document.

When considering our services, ask your financial professional:

- Key questions to ask your financial professional about the impact of fees and costs on investments: Help me understand how these fees and costs might affect my investments. For example, if I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested?

## What are your legal obligations to me when providing recommendations? How else does the firm make money and what conflicts of interest do you have?

We *do not* provide recommendations. The way we make money creates some conflicts with your interest. You should understand and ask us about these conflicts because they can affect the services we provided to you. Here are some examples to help you understand what this means.

1. **Propriety Products:** Templum's affiliate, Templum Capital LLC ("Templum Capital"), is a private investment advisor. When Templum sells products managed by Templum Capital to retail investors, Templum Capital is also earning fees from such products. In addition, Templum Capital may use the proceeds from securities sales through Templum to pay for private market security services provided to Templum Capital by Templum. Between two similar securities products, Templum's parent company is in a position to make more money when you purchase the product issued by Templum Capital.
2. **Revenue Sharing:** Templum may receive fees from issuers, sponsors, and/or other intermediaries for post-trade services related to your investment. As it relates to post-trade services, Templum is therefore in a position to earn more revenue as you purchase and hold more securities through Templum.
3. **Technology Services:** Templum's parent company may earn fees for providing technology services to securities issuers, other brokers, and/or intermediaries that facilitate your trading of securities through Templum. Templum's parent company, therefore, may benefit as securities issuers look for tools to manage transaction and investor volume.

When considering our services, ask your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

Additional information about our conflicts of interest is available on our Regulatory Best Interest Disclosure Brochure and your Customer Agreement.

### How do your financial professionals make money?

Registered Representatives receive a salary and do not receive transaction-based compensation. Registered representatives may be in a position to earn a higher salary as transaction volume (and, correspondingly, commission revenues) increases over time.

### Do you or your financial professionals have legal or disciplinary history?

Yes. You can visit <https://brokercheck.finra.org> for a free and simple search tool to research us and our financial professionals.

When considering our services, ask your financial professional:

- As a financial professional, do you have any disciplinary history? For what type of conduct.

### Additional Information

Additional information, including our most recent Relationship Summary, is available by calling Templum at (332) 895-5038 to request up-to-date information and a copy of the Relationship Summary or by visiting [https://files.brokercheck.finra.org/crs\\_102840.pdf](https://files.brokercheck.finra.org/crs_102840.pdf)

When considering our services, ask your financial professional:

- Who is my primary contact person?
- Is she or he a representative of an investment adviser or a broker dealer?
- Who can I talk to if I have concerns about how this person is treating me?

### Summary of Material Changes

Most if not all sections were amended to incorporate changes to current business practices and Firm contact information.