

May 31, 2024

# Customer Relationship Summary

Templum Markets, LLC

Form CRS

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Templum Markets LLC (“Templum” or “we”) is registered with the Securities and Exchange Commission (“SEC”) as a broker-dealer and is also a member of Financial Industry Regulatory Authority, Inc. (“FINRA”) and Securities Investor Protection Corporation (“SIPC”). This Form CRS contains important information about the types of services Templum offers, along with general information related to compensation, conflicts of interest, disciplinary action, and other reportable legal information. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## What investment services and advice can you provide me?

Templum offers limited investment products and services to customers. Specifically, we offer customers the opportunity to make self-directed purchases of interests in registered and unregistered securities offerings through primary offerings as well as secondary trading on our Alternative Trading System (“ATS”). Templum does not maintain “minimum account standards.”

We do not enter into a fiduciary relationship with, nor make any recommendations to, any customer; however, we may close your brokerage account if we do not believe the securities made available by Templum could be appropriate for you. It is your responsibility to monitor the investments you make through Templum and we encourage you to do so regularly. We do not commit to provide on-going monitoring of your investments. Other security products or brokerage services may be more suitable for you.

Additional information about our services is available through our Best Interest Disclosure Documents, <https://www.templuminc.com/legal/reg-bi>.

## What fees will I pay?

You will pay transaction-based fees in connection with trades you make on the ATS. The applicable fees will vary depending on the security. Where these fees apply, the more transactions you enter into, the more compensation we will receive.

Templum charges fees on a per transaction basis and you will pay such fees whether you make or lose money on your investments. Fees may reduce any amount of money you make on your investments over time. Please make sure you understand what fees you are paying.

Additional information about our fees is available on our Best Interest Disclosure Document.

When considering our services, ask your financial professional:

- Key questions to ask your financial professional about the impact of fees and costs on investments: Help me understand how these fees and costs might affect my investments. For example, if I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested?

## What are your legal obligations to me when providing recommendations as my broker-dealer? How else does the firm make money and what conflicts of interest do you have?

### Recommendations

Templum does not make investment recommendations to its customers.

### Revenue

Templum generates revenue as a result of transaction-based fee structures and other financial arrangements such as revenue sharing between us and third parties. Securities rules allow for us to earn fees when we provide brokerage services to you. The fees that we receive from you vary based upon the product or services you purchase. In addition, Templum, including

its affiliates, may earn revenue by performing certain additional services for, or on behalf of, the issuer of the securities you purchase through Templum. These services include, but are not limited to, technology services, brokerage services, investment advisory services, transfer services, and administrative services.

### *Conflicts of Interest*

Templum's affiliates may earn fees for performing services for, or on behalf of, issuers that are paid by the issuer using the principle of your investment. In these cases, Templum's affiliates will earn fees in addition to any brokerage fees that may be charged by Templum. Moreover, Templum's affiliates may be entitled to be compensated by an issuer for performed services prior to any monies being returned to you, an investor.

When considering our services, ask your financial professional:

- How might your conflicts of interest affect me, and how will you address them?

Additional information about our conflicts of interest is available on our Regulatory Best Interest Disclosure Brochure and your Customer Agreement.

### How do your financial professionals make money?

Registered Representatives do not receive transaction-based compensation. Templum employees may receive bonuses based upon Templum's overall profitability.

### Do you or your financial professionals have legal or disciplinary history?

Yes. You can visit <https://brokercheck.finra.org> for a free and simple search tool to research us and our financial professionals.

When considering our services, ask your financial professional:

- As a financial professional, do you have any disciplinary history? For what type of conduct.

### Additional Information

Additional information, including our most recent Relationship Summary, is available by calling Templum at (646) 832-4574 to request up-to-date information and a copy of the Relationship Summary or by visiting [https://files.brokercheck.finra.org/crs\\_102840.pdf](https://files.brokercheck.finra.org/crs_102840.pdf)

When considering our services, ask your financial professional:

- Who is my primary contact person?
- Is she or he a representative of an investment adviser or a broker dealer?
- Who can I talk to if I have concerns about how this person is treating me?

### Summary of Material Changes

Most if not all sections were amended to incorporate changes to active business lines, staff, and Firm contact information.